WC 04-149

DOCKET FILE DOPY ORIGINAL

Before the FEDERAL COMMUNICATIONS COMMISSION Washington, D.C. 20554

In the Matter of Transfer of Control of): '	
FairPoint Communications, Inc. and its Subsidiaries)	· · · · · · · · · · · · · · · · · · ·
Thomas H. Lee Equity Fund IV, L.P. Transferor)))	
Shareholders of FairPoint Communications, Inc. Transferee)))	
For Transfer of Control of Corporations Holding Domestic Blanket Authorizations under Section 214 of the Communications Act)))	

APPLICATION FOR STREAMLINED TRANSFER OF CONTROL OF DOMESTIC BLANKET SECTION 214 AUTHORIZATIONS

FairPoint Communications, Inc. ("FairPoint"), the ultimate parent corporation of the twenty-six (26) Rural Local Exchange Carriers ("RLECs") listed on Attachment 1 and the eighteen (18) domestic long distance toll carriers ("IXCs") listed on Attachment 2, hereby requests streamlined Commission authorization for the transfer of control of the domestic blanket Section 214 authorizations held by those twenty-six RLECs and eighteen IXCs from Thomas H. Lee Equity Fund IV, L.P. ("THL"), the entity that currently exercises de facto control over FairPoint, to the proposed new and future public shareholders of FairPoint.

The subject transfer of control is the result of the proposed issuance by FairPoint of Income Deposit Securities ("IDSs") in a public offering that has been registered with the Securities and Exchange Commission ("SEC"), and that will be initiated on or about July 1, 2004, after receipt of the required regulatory approvals. Each IDS will represent

one share of common stock of FairPoint and a senior subordinated note of FairPoint. The IDSs will be listed on one or more stock exchanges, and may be separated into shares of common stock and senior subordinated notes.

As a result of the proposed public offering of IDSs, THL's share of FairPoint's common stock will decrease from approximately 43.0 percent to approximately 12.5 percent (subject to final determination of these percentages at the close of the public offering) Although FairPoint believes that THL will remain FairPoint's largest shareholder immediately following the public offering, THL will hold a much smaller percentage of FairPoint's equity and will, in all likelihood, not constitute FairPoint's primary future option for additional equity and debt funding.

FairPoint presently is a closely-held corporation. After completion of the public offering, approximately 68.0 percent of its common stock will be held by public shareholders (subject to final determination of such percentage at the close of the public offering). As required by federal securities law, following the public offering, a majority of the directors on FairPoint's seven-person Board will be independent directors. Whereas the entities holding FairPoint's publicly traded stock will change from time to time, FairPoint expects that such entities will be primarily individuals, mutual funds, pension funds and other institutional investors. In other words, FairPoint does not expect that a significant portion of its publicly traded stock will be acquired or held by other telecommunications providers, and recognizes that any telecommunications provider seeking to acquire legal or de facto control of FairPoint by acquiring and holding substantial blocks of its publicly traded stock would have to obtain the consent of the Commission.

The proposed transformation of FairPoint from a closely-held corporation to a publicly traded corporation will have no impact upon the geographic areas served or the services provided by FairPoint's twenty-six RLEC subsidiaries or FairPoint's eighteen IXC subsidiaries¹.

In accordance with the requirements of Section 63.04(a) of the Commission's Rules, the applicants submit the following information:

(1) Name, address and telephone number of each applicant:

Applicant:

FairPoint Communications, Inc. 521 East Morehead Street (Suite 250) Charlotte, North Carolina 28202 Telephone: (704) 344-8150 Facsimile: (704) 344-1594

Transferor:

Thomas H. Lee Equity Fund IV, L.P. 100 Federal Street, 35th Floor Boston, Massachusetts 02110 Telephone. (617) 227-1050 Facsimile: (617) 227-3514

Transferees:

Facsimile: (704) 344-1594

Shareholders of FairPoint Communications, Inc. c/o FairPoint Communications, Inc. 521 East Morehead Street (Suite 250)
Charlotte, North Carolina 28202
Telephone: (704) 344-8150

¹ FairPoint directly owns 100 percent of the stock of six intermediate subsidiaries, including ST Enterprises, Ltd, MJD Ventures, Inc, MJD Services Corp, and FairPoint Carrier Services, Inc. The first three of these intermediate subsidiaries, in turn, own all of the stock of FairPoint's twenty-six RLEC subsidiaries and seventeen of its eighteen IXC subsidiaries. FairPoint Carrier Services, Inc. itself also provides long distance toll services.

(2) Government, state or territory under the laws of which each corporate or partnership applicant is organized:

FairPoint Communications, Inc. is a Delaware corporation.

Thomas H. Lee Equity Fund IV, L.P. is a Delaware limited partnership.

(3) Name, title, post office address, and telephone number of the officer or contact point to whom correspondence concerning the application is to be addressed:

For FairPoint Communications, Inc.:

Shirley J. Linn, Esquire
Vice President and General Counsel
FairPoint Communications, Inc.
521 East Morehead Street (Suite 250)
Charlotte, North Carolina 28202
Telephone: (704) 344-8150

Facsimile: (704) 344-1594
With a copy to Counsel:

Gerard J. Duffy, Esquire
Blooston, Mordkofsky, Dickens, Duffy & Prendergast
2120 L Street, N.W. (Suite 300)
Washington, D.C. 20037
Telephone: (202) 659-0830

For Thomas H. Lee Equity Fund IV, L.P.: Kent R. Weldon Thomas H. Lee Equity Fund IV, L.P. 100 Federal Street, 35th Floor Boston, Massachusetts 02110 Telephone: (617) 227-1050 Facsimile: (617) 227-3514

Facsimile: (202) 828-5568

With a copy to Counsel: Charles W Robins, Esquire Weil Gotshal & Manges LLP 100 Federal Street, 34th Floor Boston, Massachusetts 02110 Telephone (617) 772-8300 Facsimile: (617) 772-8333 (4) Name, address, citizenship and principal business of any person or entity that will directly own at least ten (10) percent of the equity of the Applicant, and the percentage of equity that will be owned by each of those entities (to the nearest one (1) percent):

Ownership of FairPoint Communications, Inc. after the public offering:

	%		
Name & Address	Equity	Citizenship	Principal Business
Thomas H. Lee Equity	12.5%*	DE	Investments
Fund IV, L.P.		L.P.	
100 Federal Street, 35th Floor			
Boston, MA 02110			

The general partner of Thomas H. Lee Equity Fund IV, L.P is THL Equity Advisors, IV, LLC. No single individual or entity holds a 10 percent or greater interest in THL and its affiliated funds.

Kelso Investment	10.6%*	DE	Investments
Associates V, L.P.		L.P.	
320 Park Avenue, 24th Floor			
New York, NY 10022			

The general partners of Kelso Investment Associates V, L.P. ("KIA V") are Kelso Partners V, L.P. and Kelso KP V, L.P., a limited partner of KIA V, which, in turn, are owned by Messrs Frank T. Nickell, Joseph S. Schuchert, Thomas R. Wall, IV, George E. Matelich, Michael B. Goldberg, David I. Wahrhaftig, Frank K. Bynum, Jr. and Philip E. Berney, each of whom is a U.S. citizen. The capital, directly or indirectly, invested by each general partner with respect to FairPoint through KIA V constitutes less than 1% of the total capital investment of KIA V.

- * Exact percentages to be determined at the close of the public offering.
- (5) Certification pursuant to 47 C.F.R. Sections 1.2001 through 1.2003 that no party to the application is subject to denial of Federal benefits pursuant to section 5301 of the Anti-Drug Abuse Act of 1988.

FairPoint and THL hereby certify, pursuant to 47 C.F.R. Sections 1.2001 through 1.2003, that no party to the present application is subject to denial of Federal benefits pursuant to section 5301 of the Anti-Drug Abuse Act of 1988.

(6) Description of the transaction:

The transaction is a public offering by FairPoint of Income Deposit Securities ("IDSs") that will be registered with the Securities and Exchange Commission. Each IDS will represent one share of common stock of FairPoint and a senior subordinated note of FairPoint. The IDSs will be listed on one or more stock exchanges, and may be separated into shares of common stock and senior subordinated notes.

FairPoint presently is a closely-held corporation. It has been deemed to be under the de facto control of THL: (a) because THL has been its single largest shareholder (presently holding approximately 43.0 percent of its common stock); and (b) because THL has been one of FairPoint's primary options for additional equity and debt financing.

At the completion of the proposed public offering of IDSs, public shareholders will hold approximately 68.0 percent of FairPoint's common stock while THL's holdings will have decreased to approximately 12.5 percent (subject to final determination of these percentages at the close of the public offering). THL will no longer be FairPoint's primary future option for additional equity and debt funding.

FairPoint's new public shareholders will be primarily individuals, mutual funds, pension funds and other institutional investors. As required by federal securities law, following the public offering, a majority of the directors on FairPoint's seven-person Board will be independent directors.

(7) Description of the geographic areas in which the transferor and transferee (and their affiliates) offer domestic telecommunications services, and what services are provided in each area:

Transferor THL is an investment partnership that does not offer domestic telecommunications services.

FairPoint's prospective public shareholders are individuals, mutual funds, pension funds and other institutional investors that generally do not offer domestic telecommunications services. FairPoint does not expect that a significant portion (if any) of its publicly traded stock will be acquired or held by other telecommunications providers. In the event any telecommunications provider seeks to acquire legal or <u>de facto</u> control of FairPoint by acquiring and holding substantial blocks of its publicly traded stock, such telecommunications provider will have to obtain the prior consent of this Commission

FairPoint's twenty-six RLEC subsidiaries (see Attachment 1) provide wireline local exchange service and exchange access service to residential and business customers in approximately 143 local telephone exchanges (approximately 264,300 aggregate access lines) in seventeen states (Alabama, Colorado, Florida, Georgia, Idaho,

Illinois, Kansas, Massachusetts, Maine, New Hampshire, New York, Ohio, Oklahoma, Pennsylvania, Virginia, Vermont and Washington).

FairPoint's eighteen IXC subsidiaries (see Attachment 2) provide domestic and international long distance toll services to approximately 79,351 residential and business customers, primarily in the same seventeen states.

Other FairPoint subsidiaries provide Internet access and cable television services.

The proposed transformation of FairPoint from a closely-held corporation to a publicly traded corporation will have no impact upon the geographic areas served or the services provided by any of FairPoint's subsidiaries, including its twenty-six RLEC subsidiaries and its eighteen IXC subsidiaries.

(8) Statement as to how the application fits into one or more of the presumptive streamlined categories in section 63.03 or why it is otherwise appropriate for streamlined treatment:

The streamlined procedures provided in Section 63.03(b) of the Commission's Rules apply to all transfer of control applications in which the transferee is not a telecommunications provider. Here, neither the Transferor THL nor the prospective public shareholder transferees will be telecommunications providers. As indicated above, FairPoint expects that its prospective public shareholders will be individuals, mutual funds, pension funds and other institutional investors that do not offer domestic telecommunications services. FairPoint does not expect that a significant portion (if any) of its publicly traded stock will be acquired or held by other telecommunications providers. In the event any telecommunications provider seeks to acquire legal or de facto control of FairPoint by acquiring and holding substantial blocks of its publicly traded stock, such telecommunications provider will have to obtain the prior consent of this Commission.

FairPoint and its subsidiaries have a market share in the interstate, interexchange market of less than 10 percent. FairPoint's RLEC subsidiaries have, in combination, fewer than two (2) percent of the nation's subscriber lines installed in the aggregate nationwide. None of these interstate, interexchange or local exchange services or markets will be expanded, contracted, or otherwise changed as the result of FairPoint's proposed public offering.

(9) Identification of all other Commission applications related to the same transaction:

FairPoint will be filing an application for transfer of control of itself and its sixteen subsidiaries that hold International Section 214 authorizations for the resale of switched international telecommunications services. These subsidiaries include Chautauqua & Erie Communications, Inc. (File No. ITC-T/C-20000321-00174): Comerco, Inc. (File No. ITC-214-20030521-00254); C-R Long Distance, Inc. (File No. ITC-214-20000320-00156); El Paso Long Distance Telephone Company (File No. ITC-T/C-20000224-00122); Elltel Long Distance Corp. (File No. ITC-T/C-20000224-00123); FairPoint Carrier Services, Inc. (f/k/a FairPoint Communications Corp) (File No. ITC-T/C-20000321-00173); Fretel Communications, LLC (File No. ITC-T/C-20000420-00238); GTC, Inc. (File No. ITC-214-20011019-00531); Mariana Tel. Inc. (File No. ITC-214-20011025-00599); Orwell Communications, Inc. (File No ITC-214-20001019-00628); Peoples Mutual Long Distance Company (File No. ITC-214-20001207-00717); Ouality One Technologies, Inc. (File No. ITC-214-19990713-00464); ST Long Distance, Inc. (File No. ITC-T/C-20000224-00121); St. Joe Communications, Inc. (File No. ITC-T/C-20000224-00119); Taconic TelCom Corp. (File No. ITC-98-042-TC); and UI Long Distance, Inc. d/b/a Northland Long Distance (File No. ITC-214-20030206-00049).

FairPoint and its subsidiary Ellensburg Telephone Company will be filing applications for transfer of control of Common Carrier Fixed Point-to-Point Microwave Service Stations WBA948, WHA480, WHA481, WHA482, WHA483, WLA950, WLA951, WLL885 and WLL886; and of Conventional Industrial/Business Pool Service Stations KTW706 and WNWB647.

FairPoint and its subsidiary Fremont Telcom Co. will be filing applications for transfer of control of Common Carrier Fixed Point-to-Point Microwave Service Stations KPW88, WAX76, WAX77 and WMT361.

FairPoint and its subsidiary Columbine Acquisition Corp. will be filing applications for transfer of control of Basic Exchange Telephone Radio Service Station KNKO928 and Conventional Industrial/Business Pool Service Station KNCY636.

FairPoint and its subsidiary Sunflower Telephone Company, Inc. will be filing applications for transfer of control of Conventional Industrial/Business Pool Service Stations KA73550, KNDR226, WGK687, WGK689, WGK690 and WQM412.

FairPoint and its subsidiary GTC, Inc. will be filing applications for transfer of control of Conventional Industrial/Business Pool Service Stations KFF408, KZD982, WPEZ784, WPHY754 and WZQ767.

FairPoint and its subsidiary Northland Telephone Company of Maine, Inc. will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station KFZ616.

FairPoint and its subsidiary Taconic Telephone Corp. will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station KCO829.

FairPoint and its subsidiary Standish Telephone Company will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station KCG973

FairPoint and its subsidiary China Telephone Co. will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station WSH605.

FairPoint and its subsidiary Peoples Mutual Telephone Company will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station WYK256.

FairPoint and its subsidiary YCOM Networks Inc. will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station KAX660.

FairPoint and its subsidiary Community Service Telephone Co. will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station WXH844.

FairPoint and its subsidiary Odin Telephone Exchange Inc. will be filing an application for transfer of control of Conventional Industrial/Business Pool Service Station KXQ365.

(10) Statement of whether the applicants are requesting special consideration because either party to the transaction is facing imminent business failure:

No party to the transaction is facing imminent business failure. Therefore, the applicants are not requesting special consideration because a party to the transaction is facing imminent business failure.

(11) Identification of any separately filed waiver requests being sought in conjunction with the transaction:

There are no separately filed waiver requests being sought in conjunction with the transaction.

(12) Statement showing how grant of the application will serve the public interest, convenience and necessity, including any additional information that may be necessary to show the effect of the proposed transaction on competition in domestic markets:

The proposed public offering is intended to increase the operating and financial flexibility of FairPoint and its subsidiaries by lowering their overall cost of capital and reducing their dependence upon debt financing.

There will be no immediate changes in FairPoint's services or service areas as the result of its transformation from a closely-held corporation to a publicly traded corporation. However, as its publicly traded status enables FairPoint to raise additional financing at more economically reasonable rates, it will be better able to make the investments necessary to compete vigorously and effectively in telecommunications and information service markets. FairPoint's subsidiaries today compete with a variety of wireless, domestic and international toll, local exchange, cable television, voice over Internet Protocol, Internet access and other service providers, and this competition is expected to become more and more vigorous in the future. By transforming itself into a publicly traded corporation, FairPoint will increase its financial strength and flexibility to continue to offer competitive services in the future.

Conclusion

In light of the foregoing facts and public interest considerations, the Commission is respectfully requested to grant its authorization, on a streamlined basis, for control of the domestic blanket Section 214 authorizations held by FairPoint's subsidiaries to be transferred from THL to the new public shareholders of FairPoint. The Commission is respectfully requested to place this application on public notice as soon as possible, so that a grant or deemed grant of the Commission's consent can be obtained prior to June 1, 2004, so that FairPoint's public offering can commence on or about July 1, 2004.

Respectfully submitted,

FAIRPOINT COMMUNICATIONS, INC.	THOMAS H. LEE EQUITY FUND IV, L.P.
By 51. 9.	By: Thomas H. Lee Equity Advisors IV, LLC
Printed Name: Shirles J. Linn	Its sole general partner
Title Vice President	By: Kent Weldon Title: Managing Director
Date Agril \$ 2004	Date

Conclusion

In light of the foregoing facts and public interest considerations, the Commission is respectfully requested to grant its authorization, on a streamlined basis, for control of the domestic blanket Section 214 authorizations held by FairPoint's subsidiaries to be transferred from THL to the new public shareholders of FairPoint. The Commission is respectfully requested to place this application on public notice as soon as possible, so that a grant or deemed grant of the Commission's consent can be obtained prior to June 1, 2004, so that FairPoint's public offering can commence on or about July 1, 2004.

Respectfully submitted,

FAIRPOINT COMMUNICATIONS, INC.	THOMAS H. LEE EQUITY FUND IV, L.P.
Ву	By: Thomas H. Lee Equity
	Advisors IV, LLC Its sole general partner
Printed Name:	To be a Berein Famous
	By: Kent Weldon
Title	Kent Weldon
	Title: Managing Director
Date	Date4/2/04

Attachment 1

FAIRPOINT COMMUNICATIONS, INC. RLEC SUBSIDIARIES

RLEC Subsidiary States Where Operate

ST Enterprises, Ltd. (Intermediate Subsidiary)

STE/NE Acquisition Corp.

d/b/a Northland Telephone Co of Vermont Vermont

Sunflower Telephone Company, Inc. Kansas and Colorado

Northland Telephone Company of Maine, Inc. Maine and New Hampshire

MJD Ventures, Inc. (Intermediate Subsidiary)

Marianna and Scenery Hill Telephone Company Pennsylvania

The Columbus Grove Telephone Company Ohio
C-R Telephone Company Illinois

Taconic Telephone Corp.

New York and Massachusetts

Ellensburg Telephone Company
Sidney Telephone Company
Standish Telephone Company
China Telephone Company
Maine
Maine
Maine Telephone Company
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Maine

Chouteau Telephone Company Oklahoma
Chautauqua and Erie Telephone Corporation New York

The Orwell Telephone Company Ohio

GTC, Inc. Florida, Alabama & Georgia

Peoples Mutual Telephone Company Virginia
Fremont Telcom Co. Idaho
YCOM Networks, Inc. Washington

Community Service Telephone Co. Maine

MJD Services Corp. (Intermediate Subsidiary)

Bluestem Telephone Company

Big Sandy Telecom, Inc.

Colorado
Odin Telephone Exchange, Inc.

Columbine Telecom Company

The El Paso Telephone Company

Yates City Telephone Company

Illinois
Illinois

Attachment 2

FAIRPOINT COMMUNICATIONS, INC. IXC SUBSIDIARIES

IXC Subsidiary States Where Operate

FairPoint Carrier Services, Inc. Nationwide

ST Enterprises, Ltd. (Intermediate Subsidiary)

ST Long Distance, Inc. Colorado, Kansas, Vermont

& New Hampshire

MJD Ventures, Inc. (Intermediate Subsidiary)

Marianna Tel, Inc. Pennsylvania

C-R Long Distance, Inc.

Taconic TelCom Corp.

Elltel Long Distance Corp.

UI Long Distance, Inc.

Illinois

New York

Washington

Maine

Chouteau Telecommunications & Electronics, Inc.

Chautauqua & Erie Communications, Inc.

New York

Orwell Communications, Inc.

Ohio

St. Joe Communications, Inc. Florida, Alabama & Georgia

GTC, Inc. Florida

Peoples Mutual Long Distance Company Virginia
Fretel Communications, LLC Idaho

Comerco, Inc. Washington

Quality One Technologies, Inc.

Commtel Communications Inc.

Ohio

Maine

MJD Services Corp. (Intermediate Subsidiary)

El Paso Long Distance Company Illinois

CERTIFICATE OF SERVICE

I, Kelly Laraia, hereby certify that a copy of the foregoing "Application for Streamlined Transfer of Control of Blanket Section 214 Authorizations" was served this 5th day of April, 2004, by first class mail as indicated, to the persons listed below.

The Honorable Colin L. Powell Secretary of State United States Department of State 2201 C Street, N.W Washington, D.C 20520

The Honorable Donald H Rumsfeld Secretary of Defense 1000 Defense Pentagon The Pentagon Washington, D.C 20301

The Honorable Bob Riley Office of the Governor State Capitol 600 Dexter Avenue Montgomery, Alabama 36130

The Honorable Jeb Bush Executive Office of the Governor 400 S Monroe Street The Capitol Tallahassee, Florida 32399-0001

The Honorable Sonny Perdue Office of the Governor State of Georgia 203 State Capitol Atlanta, GA 30334

The Honorable Kathleen Sebelius Office of the Governor 300 SW 10th Ave., Ste. 212S Topeka, KS 66612-1590

The Honorable Bill Owens Office of the Governor 136 State Capitol Denver, CO 80203-1792 The Honorable Gary Locke Office of the Governor PO Box 40002 Olympia, WA 98504-0002

The Honorable Dirk Kempthorne Office of the Governor 700 West Jefferson, 2nd Floor PO Box 83720 Boise, Idaho 83720-0034

The Honorable George E Pataki Office of the Governor State Capitol Albany, NY 12224

Governor Edward G Rendell's Office 225 Main Capitol Building Harrisburg, Pennsylvania 17120

The Honorable Bob Taft 30th Floor 77 South High Street Columbus, Ohio 43215-6117

The Honorable Rod Blagojevich Office of the Governor 207 State House Springfield, IL 62706

The Honorable Craig Benson Office of the Governor 107 North Main Street Room 208 Concord, NH 03301

The Honorable Mitt Romney State House Office of the Governor Room 360 Boston, MA 02133 The Honorable John Elias Baldacci Office of the Governor #1 State House Station Augusta, ME 04333-0001

The Honorable Brad Henry Governor's Office State Capitol Building 2300 N Lincoln Blvd Room 212 Oklahoma City, OK 73105

The Honorable Mark R Warner State Capitol, 3rd Floor Richmond, Virginia 23219

The Honorable Jim Douglas 109 State Street, Pavilion Montpelier, Vermont 05609

Qualex International 445 12th Street, S.W, CY-B402 Washington, D.C. 20054

Kelly Lareia
Kelly Laraia

Blooston, Mordkofsky, Dickens, Duffy & Prendergast

2120 L Street, N.W. Suite 300 Washington, DC 20037-1563 (202) 659-0830

SUNTRUST BANK CHARLOTTESVILLE, VA 22903 68-2/510

4/5/2004

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Federal Communications Commission

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Federal Communications Commission

FairPoint Communications, Inc.

MEMO_____

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Blooston, Mordkofsky, Dickens, Duffy & Prendergast

Federal Communications Commission

Date 04/05/2004

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Check Amount

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Payment

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Checking account

FairPoint Communications, Inc.

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(30) CERTIFICA	TION STATEMENT	SEC	TION D - CERTIFIC	ATION		 _	
I,			, certify under penalty o	f perjury that the	foregon	ng and support	ting information is true and correct to
the best of my know	vledge, information and belief	SIGNATU	RE			DATE	
		SECTION E - CRI	EDIT CARD PAYME	NT INFORM	ATION	J	
(31)	MASTERCARD	VISA ACCOUNT NU	MBER		-		EXPIRATION
(31) MASTERCA	ARD LILL						
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